

Form PTO-1390 (Rev. 12-2004) TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		US PATENT AND TRADEMARK OFFICE; US DEPARTMENT OF COMMERCE ATTORNEY'S DOCKET NO. C 2680 PCT/US
INTERNATIONAL APPLICATION NO. PCT/EP2003/013978		U.S. APPLICATION NO. <small>if known see 37 CFR 1.5</small> 10/539730
INTERNATIONAL FILING DATE December 10, 2003		PRIORITY DATE CLAIMED December 19, 2002
TITLE OF INVENTION METHOD FOR PRODUCING HYDROXYCARBOXYLIC ACID ESTERS		
APPLICANT(S) FOR DO/EO/US Ansgar BEHLER and Almud FOLGE		
<p>Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:</p> <p>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.</p> <p>3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input type="checkbox"/> The US has been elected (Article 31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))</p> <p>a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</p> <p>b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.</p> <p>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).</p> <p>a. <input checked="" type="checkbox"/> is attached hereto.</p> <p>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</p> <p>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</p> <p>b. <input type="checkbox"/> have been communicated by the International Bureau.</p> <p>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p>d. <input checked="" type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> A English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)) (EXECUTED).</p> <p>10. <input type="checkbox"/> A English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p> <p>Items 11 to 20 below concern document(s) or information included:</p> <p>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input type="checkbox"/> A preliminary amendment.</p> <p>14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A power of attorney and/or change of address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.</p> <p>18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the International application under 35 U.S.C. 154(d)(4).</p> <p>20. <input checked="" type="checkbox"/> Other items or information: Information Disclosure Statement</p>		
<p>"Express Mail" Mailing Label Number EV530260440US</p>		

U.S. Application No. <u>1539730</u> (If known, see 37 CFR 1.5)		INTERNATIONAL APPLICATION NO. <u>PCT/EP2003/013978</u>		ATTORNEY'S DOCKET NUMBER <u>C 2680 PCT/US</u>																		
<p>21. The following fees are submitted:</p> <table> <tr> <td><input checked="" type="checkbox"/> a) Basic national fee.....</td> <td>\$300.00</td> <td>\$ 300.00</td> <td></td> </tr> <tr> <td><input checked="" type="checkbox"/> b) Examination fee.....</td> <td>\$ 200.00</td> <td>\$ 200.00</td> <td></td> </tr> <tr> <td><input checked="" type="checkbox"/> c) Search fee - search report prepared and provided to USPTO.....</td> <td>\$400.00</td> <td>\$ 400.00</td> <td></td> </tr> <tr> <td colspan="2">TOTAL OF ABOVE CALCULATIONS =</td> <td>\$ 900.00</td> <td>\$ 900.00</td> <td></td> </tr> </table>						<input checked="" type="checkbox"/> a) Basic national fee.....	\$300.00	\$ 300.00		<input checked="" type="checkbox"/> b) Examination fee.....	\$ 200.00	\$ 200.00		<input checked="" type="checkbox"/> c) Search fee - search report prepared and provided to USPTO.....	\$400.00	\$ 400.00		TOTAL OF ABOVE CALCULATIONS =		\$ 900.00	\$ 900.00	
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TOTAL OF ABOVE CALCULATIONS =		\$ 900.00	\$ 900.00																			
<p><input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.</p>																						
Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE																			
XX - 100 =	XX/50 =	0	x \$250.00																			
<p>Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).</p>																						
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE																			
Total Claims	20 - 20 =	0	0 X \$50.00																			
Independent Claims	3 - 3 =	0	0 X \$200.00																			
MULTIPLE DEPENDENT CLAIMS (S) (if applicable)			0	+ \$360.00																		
<p>TOTAL OF ABOVE CALCULATIONS =</p>																						
<p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.</p>																						
<p>SUBTOTAL =</p>																						
<p>Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).</p>																						
<p>TOTAL NATIONAL FEE =</p>																						
<p>Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +</p>																						
<p>TOTAL FEES ENCLOSED</p>																						
<p>Amount to be refunded: \$-----</p>																						
<p>Amount to be charged: \$ 900.00</p>																						
<p>a. <input type="checkbox"/> A check in the amount of \$ to cover the above fees is enclosed. b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. 50-1177 in the amount of \$ 900.00 to cover the above fees. A triplicate copy of this sheet is enclosed. Order No. 05-0238. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-1177. A triplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the International Application to pending status.</p>																						
SEND ALL CORRESPONDENCE TO:		Customer Label No. 23657																				
<p><i>Arthur G. Seifert</i> SIGNATURE</p>																						
<p>Arthur G. Seifert NAME ATTORNEY FOR APPLICANT 28,040 REGISTRATION NUMBER</p>																						